

LJV



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/944,283	08/30/2001	2874	1486	005489.P004	7	47	5

CONFIRMATION NO. 2389

UPDATED FILING RECEIPT

Stephen M. De Klerk  
Blakely, Sokoloff, Taylor & Zafman LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

RECEIVED  
JAN 14 2002



\*OC000000007271529\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Date Mailed: 01/07/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hyo-Sang Kim, Santa Clara, CA;  
David Vigdor Salamon, San Jose, CA;  
Seok-Hyun Yun, Santa Clara, CA;

ENTERED

JAN 14 2002

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/738,282 12/14/2000 PAT 6,532,323  
WHICH IS A CON OF 09/426,060 10/22/1999 PAT 6,266,462  
WHICH IS A CIP OF 09/022,413 02/12/1998 PAT 6,021,237

STATUS DB-LA

## Foreign Applications

REPUBLIC OF KOREA 97-24796 06/06/1997 06/16/1997

If Required, Foreign Filing License Granted 10/01/2001

Projected Publication Date: 04/18/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Optical fiber cladding with low polarization dependence and an acousto-optic tunable filter with

JAN 15 2002

low polarization dependent loss achieved through thermal annealing

**Preliminary Class**

385

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Attorney Docket. No.: 5489P004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Hyo-Sang Kim, et al.

Application No.: 09/944,283

Filed: August 30, 2001

For: OPTICAL FIBER CLADDING WITH  
LOW POLARIZATION DEPENDENCE  
AND AN ACOUSTO-OPTIC  
TUNABLE FILTER WITH LOW  
POLARIZATION DEPENDENT LOSS  
ACHIEVED THROUGH THERMAL  
ANNEALING

Date of Allowance: March 4, 2004

Confirmation No.: 2389

Examiner: Healy, Brian

Art Unit: 2874

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

on June 4, 2004  
Date of Deposit

Christopher P. Marshall  
Name of Person Mailing Correspondence  
C.P. Marshall 6/4/2004  
Signature Date

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

Please correct the Filing Receipt mailed January 7, 2002 as follows.

The Filing Receipt currently reads in part as follows:

"Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/738,282 12/14/2000  
WHICH IS A CON OF 09/426,060 10/22/1999 PAT 6,266,462  
WHICH IS A CIP OF 09/022,413 02/12/1998 PAT 6,021,237"

"Foreign Applications Republic of Korea 97-24796 06/06/1997"

Please correct the Filing Receipt so that it reads in part as follows:

"Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/738,282 12/14/2000 PAT 6,532,323  
WHICH IS A CON OF 09/426,060 10/22/1999 PAT 6,266,462  
WHICH IS A CIP OF 09/022,413 02/12/1998 PAT 6,021,237"

"Foreign Applications      Republic of Korea 97-24796 06/16/1997"

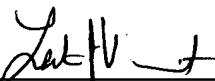
Please correct your records to reflect the above information and then forward us  
a corrected filing receipt. A marked-up Filing Receipt is attached.

If you have any questions please contact the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: June 4, 2004

  
\_\_\_\_\_  
Lester J. Vincent  
Reg. No. 31,460

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408) 720-8300